

PERSONAL INFORMATION, DECLARATION AND UNDERTAKING

(By Candidate)

Declaration & Undertaking by the Candidate with enclosures as appropriate as on ___/___/2018	
I.	Personal details of Candidate
a.	Full Name (in block capital letters) Father's Name (in Full)
b.	Date of Birth (DD/MM/YYYY)
c.	Educational Qualifications (Please attach self attested copies of the certificates supporting the information)
d.	Relevant Background and Experience (As required under Section 9(3A) of the Banking Companies (Acquisition of Undertakings) Act, 1970. (Please indicate your experience relevant to the directorship of the Bank.)
e.	Permanent Address
f.	Present Address
g.	E-mail Address Telephone Number (Landline) Telephone Number (Mobile)
h.	(1) Permanent Account Number under the Income Tax Act (Please enclose a copy) (2) Name and Address of the Income Tax Circle/Division where personal tax returns are being filed.
i.	Relevant knowledge and experience (Refer Section 9 (3-A) of the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970
j.	Any other information relevant to Directorship of the Bank
II.	Relevant Relationships of Candidate
a.	List of Relatives if any who are connected with the Bank (Refer Section 2(77) of Companies Act, 2013 read with Rule 4 of Companies (Specification of Definitions Details) Rules, 2014 [Section 6 and Schedule 1A of Companies Act 1956]
b.	List of entities if any in which he/she is considered as being interested (Refer Section 2 (49) and Section 184 of the Companies Act, 2013 [Section 299(3)(a) and Section 300 of the Companies Act, 1956]
c.	List of entities in which he/she is considered as holding substantial interest within the meaning of Section 5(ne) of the Banking Regulation Act, 1949, proposed and existing.

	d.	Name of Bank in which he/she is or has been a member of the board (giving details of period during which such office was held)	
	e.	Fund and non-fund facilities, if any, presently availed of by him/her and/ or by entities listed in II (b) and (c) above from the Bank	
	f.	Cases, if any, where the Candidate or entities listed in II (b) and (c) above are in default or have been in default in the past in respect of credit facilities obtained from the bank or any other bank.	
III	Records of professional achievements		
	a.	Professional achievements relevant	
IV	Proceedings, if any, against the Candidate		
	a.	If the candidate is a member of a professional association/body, details of disciplinary action, if any, pending or commenced or resulting in conviction in the past against him/her or whether he/she has been banned from entry of at any profession/occupation at any time.	
	b.	Details of prosecution, if any, pending or commenced or resulting in conviction in the past against the Candidate and/or against any of the entities listed in II (b) and (c) above for violation of economic laws and regulations.	
	c.	Details of criminal prosecution, if any, pending or commenced or resulting in conviction in the past against the Candidate.	
	d.	Whether the Candidate attracts any of the disqualifications envisaged under Section 164 of the Companies Act 2013 (Section 274 of the Companies Act, 1956)?	
	e.	Has the Candidate or any of the entities at II (b) and (c) above been subject to any investigation at the instance of Government department or agency?	
	f.	Has the Candidate at any time been found guilty of violation of rules/ regulations/ legislative requirements by customs/ excise /income tax/ foreign exchange /other revenue authorities, if so give particulars.	
	g.	Whether the Candidate at any time come to the adverse notice of a regulator such as SEBI, IRDA, DCA, RBI, FEMA, MCA CIBIL etc. (Though it shall not be necessary for a candidate to mention in the column about orders and findings made by regulators which have been later on reversed/ set aside in toto, it would be necessary to make a mention of the same, in case the reversal/ setting aside is on technical reasons like limitation or lack of jurisdiction, etc. and not on merit. If the order of the regulator is temporarily stayed and the appellate/ court proceedings are pending, the same also should be mentioned).	
V	Any other explanation / information in regard to items I to III and other information considered relevant for judging fit and proper		

UNDERTAKING

I _____ Son/ Daughter / Wife of Shri _____
 _____ confirm that the above information is to the best of my knowledge and belief is true and complete. I undertake to keep the Bank fully informed, as soon as possible, of all events which take place subsequent to my appointment which are relevant to the information provided above.

I also undertake to execute the deed of covenant required to be executed by all directors of the bank.

Place :

Date :

Enclosures:

Signature of Candidate