Union Bank of India
Individual Self Certification for the purposes of section 285BA of the Income-tax Act
(Separate form to be filled up for each customer)

Customer ID :

Section 1: Individual Identification

Identification of Accountholder

Name of the Accountholder :	
Date of Birth :	Place of Birth :
Country of Birth :	Nationality :
Father's Name:	Spouse Name if married:

Mailing address

Number, Building Name, Street, Locality	
City/Town	
State/Province/County	
Post Code	
Country	

Permanent Address:

Number, Building Name, Street, Locality	
City/Town	
State/Province/County	
Post Code	
Country	

Section 2: Declaration of Tax Residency

For the purposes of taxation, I am a resident in the following countries and my Tax Identification Number (TIN)/functional equivalent in each country is set out below or I have indicated that a TIN/functional equivalent is unavailable (kindly fill details of all countries of tax residence if more than one):

Country/ies of Tax Residency	Tax Identification number (TIN)/ Functional Equivalent number)	TIN/Functional Equivalent number Issuing Country	Documentary Evidence enclosed for country of tax residence and TIN

Documentary evidence to be provided:

(1) In case of US Citizenship, US Nationality, and / or unambiguous place of birth in US **BUT** not resident in US for tax purposes, please provide-

(a) Copy of a non-U.S. passport or other government-issued identification evidencing your citizenship or nationality in a country other than the United States of America; **AND**

(b) Copy of Certificate of Loss of Nationality of the United States of America held by you or a reasonable explanation of:

- The reason you do not have such a certificate despite relinquishing U.S. citizenship; or
- The reason you did not obtain U.S. citizenship at birth.

(2) If tax resident outside India other than US - A certificate of residence issued by an authorized government body, including a government agency or a municipality, of the country or territory in which the payee claims to be a resident; or certified copy of TIN card/statement or functional equivalent.

Section 3: Declaration and Undertakings

The Customer/account holder certifies that:

a) the information provided above is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.

b) the information provided by me/us above as well as in the documentary evidence provided by me/us are, to the best of my/our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.

c) I/We permit/authorise the Bank to collect, store, communicate and process information relating to the Account and all transactions therein, by the Bank and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.

d) I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided above as well as in the documentary evidence provided by me/us or if any certification becomes incorrect and to provide fresh self certification alongwith documentary evidence.

e) I / We also agree that my/our failure to disclose any material fact known to me/us, now or in future, may invalidate my/our application and the Bank would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /RBI for the purpose or take any other action as may be deemed appropriate by the Bank if the deficiency is not remedied by me/us within the stipulated period.

f) I / We hereby accept and acknowledge that the Bank shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to the Bank.

g) It shall be my / our responsibilities to educate myself / ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.

h) I/We also agree to furnish such information and/or documents as the Bank may require from time to time on account of any change in law either in India or abroad in the subject matter herein.

i) I/We shall indemnify the Bank for any loss that may arise to the Bank on account of providing incorrect or incomplete information.

(Signature of the account holder)

Full Name: ____

Place:____

Date: (DD/MM/YYYY) _______________________________________

Guidelines for filling up individual Self Certification Form

1. Declaration of tax resident country/ies is mandatory for all individual / joint individual customers who maintains / wish to open a bank account. It is mandatory for all customers irrespective of being Resident Indian, NRI, PIO/OCI, Foreign National to fill up and sign the declaration.

2. This declaration must be taken from all existing individual / joint individual customers of the Bank and be updated in FINACLE correctly.

3. These details and declaration now has become part of all types of account opening forms and incorporated in all AOFs itself. Hence obtaining this declaration separately is not required for new customers. If old AOF is used where this declaration is not available, this declaration must be obtained from the customer.

Field	Instructions
Section – 1	
Customer ID	Mention the correct 9 digit Customer ID
Name	Full name of the customer
Date of Birth	Date of birth in MM/DD/YYYY format
Place of Birth	Preferably District and State if it is in India. Name of State/nearest major city in case place of birth is outside India.
Country of Birth	Full name of the country of birth
Nationality	Full name of the country
Father’s Name	Full name of father of the customer
Spouse Name	Full name of spouse of the customer if married.
Mailing Address	Full present mailing address with postal code
Permanent Address	Full permanent address of customer with postal code (One of the addresses should be in India and other should be foreign address in case of NRI/PIO/OCI/Foreign national).
Section-2 **(To be filled if tax residency country/ies is other than India. Else leave it blank)** **(Mention all the countries in which you are tax resident other than India)**	
Country/ies of Tax Residency	Mention name of the country which you are a tax resident of other than India
Tax Identification number (TIN)/ Functional Equivalent number)	Mention Tax Identification Number (TIN) or its functional equivalent alloted by the country of your tax residency.
TIN/Functional Equivalent number Issuing Country	Mention the TIN issuing country. Normally this will be same as county of Tax Residency.
Documentary Evidence enclosed for country of tax residence and TIN	Mention name of the document (ex- passport, visa, copy of TIN etc). Attach copy of the same with this declaration.
Section - 3	
Signature	Please sign the document. Signature should tally as per the bank’s records
Full name	Mention full name of the customer as per the bank’s records
Place	Mention place where the document is signed
Date	Mention date on which the document is signed in MM/DD/YYYY format

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